

SAU1614



Attorney Docket No.: MIJ-001US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 14 2000

TECH CENTER 1600/2900

Applicant: Richard Edward Thomas

Group Art Unit: 1614

Serial No.: 09/297,652

Examiner:

Filed: July 8, 1999

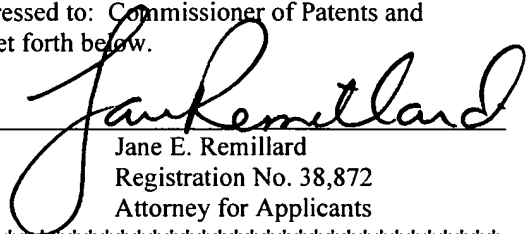
For: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date set forth below.

August 7, 2000

Date of Signature
and of Mail Deposit

By


Jane E. Remillard
Registration No. 38,872
Attorney for Applicants

Assistant Commissioner for Patents
Washington, D.C. 20231

AUTHORIZATION TO CHARGE DEPOSIT ORDER ACCUNT

Sir:

Enclosed herewith is a copy of our Deposit Account statement (Account #12-0080) dated June 30, 1999. On June 3, 1999 our account was charged \$332 for fee code 960 (PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)). However, our account should not have been charged because we enclosed a check in the total amount of \$638 on May 4, 1999 when we filed the application. Therefore, on August 19, 1999 we filed a Request for a Refund to our Deposit Order Account (a copy of which is enclosed along with enclosures) for the total amount of \$638.

Also enclosed is a copy of our Deposit Account statement dated May 31, 2000. As you will note from this statement, our account was credited \$332 for fee code 960

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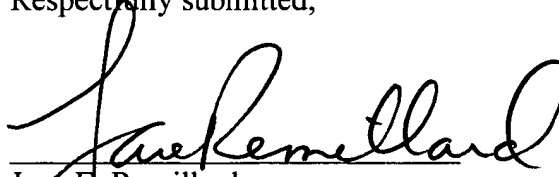
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(PTO not ISA or IPEA) and \$306 for fee code 966 (Claims – extra total (over twenty)).

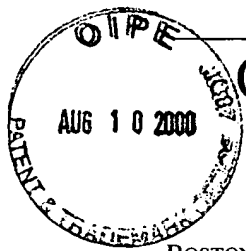
In addition, our account was also credited \$638 for fee code 704. We believe that the U.S. Patent and Trademark inadvertently credited us twice, therefore, canceling out the \$638 check which was originally sent on May 4, 2000 when we filed the above-referenced patent application. Therefore, we authorize the charge of \$638 to our Deposit Order account so that this application is up to date. For this purpose, we enclose a duplicate copy of this letter.

Respectfully submitted,


Jane E. Remillard
Registration No. 38,872
Attorney for Applicant

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617)227-7400

Dated: August 7, 2000



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DeANN FORAN SMITH**

* Admitted in NY only
** Admitted in MI only
*** Passed the Patent Bar Examination

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Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/297,652
Title: *SYNERGISTIC GOLD-CONTAINING COMPOSITIONS*
Applicant: Richard Edward Thomas
Filed: May 4, 1999
Accepted: July 8, 1999
Attorney Docket No.: MIJ-001US

Dear Sir:

Enclosed herewith for filing in the above-referenced matter, please find the following:

- (1) Authorization to Charge Deposit Order Account No. 12-0080
in the total amount of \$638 (\$332 for fee code 960 and \$306 for fee code 966);
- (2) Copy of Deposit Account statement dated June 30, 1999;
- (3) Copy of Request for Refund (filed August 19, 1999) with enclosures; and
- (4) Copy of Deposit Account statement dated May 31, 2000.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington,
DC 20231 on:

8/7/00

Date

Jane E. Remillard, Reg. No. 38,872

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Jane E. Remillard, Esquire
Reg. No. 38,872

JER:mdm
Enclosures



WED 13:58 FAX 703 308 3491

DEPOSIT ACCOUNTS

002

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Washington, D.C. 20231MONTHLY STATEMENT
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RITA M. RILEY
28 STATE STREET

BOSTON MA 02109

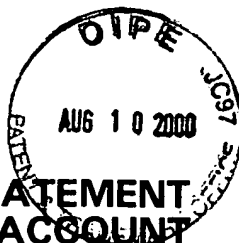
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AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT.				OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE	



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"Synergistic Gold Containing Compositions"

Office No. MIJ-001US

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Description of Paper* and No.: Request for Refund (2 pgs.) (in dup.); Copy of Deposit Order
Account Statement No. 12-0080) (1 pg.); Copy of \$638 Check; Copy of Stamped Postcard Receipt;
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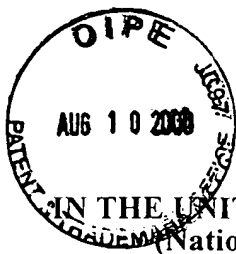
Name of Applicant(s): Richard Edward Thomas

Intf. or Serial No.: 09/297,652

Atty: Jane E. Remillard

Date: August 19, 1999

*with Certificate of First Class Mailing



Applicant: Richard Edward Thomas

Serial No.: 09/297,652

Filed: May 4, 1999

For: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Attorney Docket No.: MIJ-001US

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By

Jane E. Remillard

Registration No. 38,872

Attorney for Applicants

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Attn: Refund Section
Accounting Division
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REQUEST FOR REFUND

Sir:

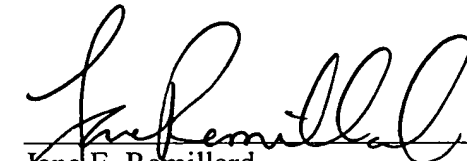
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receipt. An executed Small Entity Statement was filed in this matter on July 8, 1999, a copy of which is also enclosed.

Accordingly, please credit our Deposit Account No. 12-0080 for the \$638 fee which was erroneously charged to our account on June 3, 1999. For this purpose, we enclose a duplicate copy of this letter.

Respectfully submitted,

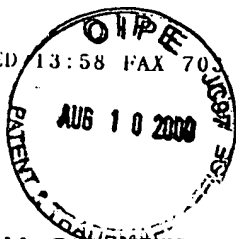
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Jane E. Remillard
Registration No. 38,872
Attorney for Applicants

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617)227-7400

Dated: August 19, 1999


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 COVER ALL SERVICES REQUESTED
 MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

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LAHIVE & COCKFIELD, LLP

030389

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CHECK NO.

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MIJ-001US	05/04/99	638.00			638.00

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LAHIVE & COCKFIELD, LLP
28 STATE STREET
BOSTON, MA 02109



CITIZENS BANK

Citizens Bank of Massachusetts, Boston, MA

030389

5-7017
2110

30389

DATE	AMOUNT
05/04/99	*\$638.00*

SIX HUNDRED THIRTY EIGHT AND 00/100 DOLLARS

TWO SIGNATURES REQUIRED FOR AMOUNT
IN EXCESS OF \$30,000.00
VOID AFTER 180 DAYS

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Description of Paper and No.: Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (6 sheets); Declaration, Petition and Power of Attorney (unexecuted) (3 sheets); Verified Statement Claiming Small Entity Status (1 sheet); Information Disclosure Statement (2 sheets) with Form PTO-1449 (1 sheet); Application as filed (28 sheets and 2 sheets of drawings); PCT Request (3 sheets); PCT Notification of Receipt of Record Copy (PCT/IB/301) (1 sheet); PCT Notification Concerning Submission of Priority Documents (PCT/IB/304) (1 sheet); PCT Notice Informing the Applicant of the Communication of the International Application into the Designated Offices (PCT/IB/308) (1 sheet); PCT Information of the Recording of a Change of Offices Notified of their Election (PCT/IB/332) (1 sheet); PCT Notification of the International Search (PCT/IB/306) (1 sheet); PCT International Published Application (WO 98/19683) (with International Published Application attached) (33 sheets); PCT Notification of Transmittal of the International Search Report of the Declaration (7 sheets); PCT Demand (3 sheets); PCT Notification of Receipt of the Demand (1 sheet); PCT First Written Opinion (dated 22 June 1998) (4 sheets); Response to First Written Opinion (11 sheets); PCT Second Written Opinion (dated 23 October 1998) (5 sheets); PCT International Preliminary Examination Report (13 sheets); Certificate of Express Mailing (1 sheet); Check in the amount of \$638; and Return Postcard.

Name of Applicant(s): Richard Edward THOMAS

Intf. or Serial No.: PCT Chapter II US National (371) Stage of PCT/AU97/00747 filed 04 Nov, 1997

Atty: Jane E. Remillard, Esq

Date: 04 May 1999

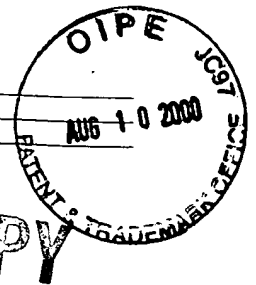
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Applicant or Patentee: Richard Ed 1 THOMAS
Serial or Patent No.: U.S. Natl. base of PCT/AU97/00747
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Title: SYNERGISTIC GOLD-CONTAINING COMPOSITIONS

Attorney's
ocket No.: MIJ-001US



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Medical Innovations Limited
ADDRESS OF SMALL BUSINESS CONCERN Unit 2, 83-85 Whiting Street, Artarmon, NSW 2064, Australia

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statement were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Jeffrey GOLDER
TITLE OF PERSON OTHER THAN OWNER Director
ADDRESS OF PERSON SIGNING 24 Bussett St Mona Vale NSW 2102
SIGNATURE Jeffrey Golder DATE 2/6/99